

EXTENSION OF WHITNEY JETS OF CONTROLLED GROWTH

ARMIN RAINER AND GERHARD SCHINDL

ABSTRACT. We revisit Whitney's extension theorem in the ultradifferentiable Roumieu setting. Based on the description of ultradifferentiable classes by weight matrices, we extend results on how growth constraints on Whitney jets on arbitrary compact subsets in \mathbb{R}^n are preserved by their extensions to \mathbb{R}^n . More precisely, for any admissible class \mathcal{C} of ultradifferentiable functions on \mathbb{R}^n we determine a class \mathcal{C}' such that all ultradifferentiable Whitney jets of class \mathcal{C}' on arbitrary compact subsets admit extensions in \mathcal{C} . The class \mathcal{C}' can be explicitly computed from \mathcal{C} .

1. INTRODUCTION

Whitney's classical extension theorem [27] provides conditions for the extension of jets defined in a closed subset of \mathbb{R}^n to infinitely differentiable functions on \mathbb{R}^n . The present paper focuses on the question how growth constraints on the jets are preserved by their extension: Let $E \subseteq \mathbb{R}^n$ be any compact subset and let $M = (M_k)$ be a positive sequence. A jet $F = (F^\alpha)_\alpha \in C^0(E, \mathbb{R})^{\mathbb{N}^n}$ is said to be a *Whitney jet of class $\mathcal{B}^{\{M\}}$* if there exist $C, \rho > 0$ such that

$$(1.1) \quad |F^\alpha(a)| \leq C \rho^{|\alpha|} M_{|\alpha|}, \quad \alpha \in \mathbb{N}^n, \quad a \in E,$$

$$(1.2) \quad |(R_a^p F)^\alpha(b)| \leq C \rho^{p+1} M_{p+1} \frac{|b-a|^{p+1-|\alpha|}}{(p+1-|\alpha|)!}, \quad p \in \mathbb{N}, \quad |\alpha| \leq p, \quad a, b \in E,$$

where $(R_a^p F)^\alpha(x) := F^\alpha(x) - \sum_{|\beta| \leq p-|\alpha|} \beta!^{-1} (x-a)^\beta F^{\alpha+\beta}(a)$. Characterize the sequences $N = (N_k)$ with the property that every Whitney jet $F = (F^\alpha)_\alpha$ of class $\mathcal{B}^{\{M\}}$ on E admits an extension $f \in C^\infty(\mathbb{R}^n)$ such that there exist $\rho > 0$ and $C \geq 1$ with

$$(1.3) \quad |f^{(\alpha)}(x)| \leq C \rho^{|\alpha|} N_{|\alpha|}, \quad \alpha \in \mathbb{N}^n, \quad x \in \mathbb{R}^n.$$

We denote the space of all such functions by $\mathcal{B}^{\{N\}}(\mathbb{R}^n)$.

There is a vast literature on this problem and its variations. The problem as formulated was solved by Chaumat and Chollet [9]: under the assumptions

- (1) $M_k/k!$ is logarithmically convex,
- (2) M has *moderate growth*, i.e., $M_{j+k} \leq C^{j+k} M_j M_k$ for all j, k and some constant C ,
- (3) $N_k/k!$ is logarithmically convex,
- (4) N is *non-quasianalytic*, i.e., $\sum_k N_{k-1}/N_k < \infty$,

Date: January 31, 2017.

2010 Mathematics Subject Classification. 26E10, 30D60, 46E10.

Key words and phrases. Whitney extension theorem in the ultradifferentiable setting, Roumieu type classes.

Supported by FWF-Projects P 26735-N25 and J 3948-N35.

all Whitney jets of class $\mathcal{B}^{\{M\}}$ on any compact $E \subseteq \mathbb{R}^n$ have extensions in $\mathcal{B}^{\{N\}}(\mathbb{R}^n)$ if and only if

$$(1.4) \quad \sum_{\ell \geq k} \frac{N_{\ell-1}}{N_{\ell}} \lesssim \frac{kM_{k-1}}{M_k}.$$

(For real valued functions f and g we write $f \lesssim g$ if and only if $f \leq Cg$ for some positive constant C . We write $f \sim g$ if and only if $f \lesssim g$ and $g \lesssim f$.)

Our main goal was to prove an analogous extension theorem without the rather restrictive assumptions of log-convexity and moderate growth. We were motivated by the fact that the related ultradifferentiable classes introduced by Beurling [1] and Björck [2] (see also Braun, Meise, and Taylor [7]) which are described by weight functions ω can be equivalently represented by one parameter families $\mathfrak{W} = \{W^x\}_{x>0}$ of weight sequences (so-called *weight matrices*) associated with ω ; see [21]. The sequences W^x typically do not have moderate growth and $W_k^x/k!$ is not log-convex.

We managed to completely dispense from the log-convexity condition and to replace the moderate growth assumption by some weaker conditions which are satisfied by weight matrices $\mathfrak{W} = \{W^x\}_{x>0}$ associated with suitable weight functions ω . In fact, we replace the single sequence N in the above problem by an *admissible* weight matrix \mathfrak{N} which incorporates these weaker conditions and define the *descendant* M of $N \in \mathfrak{N}$ (see 4.1) which turns out to satisfy (1) and to be maximal with property (1.4). Our main result, Theorem 5.3, states that, for every descendant M of \mathfrak{N} , all Whitney jets of class $\mathcal{B}^{\{M\}}$ on any compact $E \subseteq \mathbb{R}^n$ have extensions in $\bigcup_{N \in \mathfrak{N}} \mathcal{B}^{\{N\}}(\mathbb{R}^n)$. By a standard partition of unity argument, this can be generalized to arbitrary closed subsets of \mathbb{R}^n ; therefore we restrict to compact sets. Combining our theorem with a result of Schmets and Valdivia [25], we obtain a characterization of the extension property in the special case that the class is preserved by the extension: all Whitney jets of class $\bigcup_{N \in \mathfrak{N}} \mathcal{B}^{\{N\}}$ on any compact $E \subseteq \mathbb{R}^n$ have extensions in $\bigcup_{N \in \mathfrak{N}} \mathcal{B}^{\{N\}}(\mathbb{R}^n)$ if and only if for each $M \in \mathfrak{N}$ there is $N \in \mathfrak{N}$ such that (1.4) holds; see Theorem 5.12.

Our main theorem generalizes the result of Chaumat and Chollet [9]. Moreover, we reprove the extension theorem of Bonet, Braun, Meise, and Taylor [3] for *admissible* weight functions ω by different methods. Beyond that, we deduce an extension result in the mixed weight function setting, see Corollary 5.9, which to our knowledge was so far only considered in the special cases that either $E = \{0\}$ (by Bonet, Meise, and Taylor [6]) or that E is convex with non-empty interior (by Langenbruch [15]). Our method builds on the approach of Chaumat and Chollet [9] who in turn combined the construction of optimal partitions of unity of Bruna [8] with an extension procedure due to Dynkin [12]. This approach is quite direct and reproves the result for the special case $E = \{0\}$. By contrast, [3] follows more closely Bruna's observation that the extension theorem for arbitrary compact sets E is essentially a consequence of the result for $E = \{0\}$ and the existence of special cut-off functions which, in [3], are constructed using Hörmanders $\bar{\partial}$ -method; the case $E = \{0\}$ for weight functions was treated by Bonet, Meise, and Taylor [5] and [6]. We do not know whether the approach of [3] can be adapted to the mixed weight function setting.

In this paper we exclusively consider Roumieu type spaces.

2. WEIGHTS

2.1. Weight sequences. Let $\mu = (\mu_k)$ be a positive increasing sequence, $1 = \mu_0 \leq \mu_1 \leq \mu_2 \leq \dots$. We associate the sequence $M = (M_k)$ given by

$$(2.1) \quad M_k := \mu_0 \mu_1 \mu_2 \cdots \mu_k,$$

and the sequence $m = (m_k)$ defined by

$$(2.2) \quad M_k =: k! m_k.$$

We call M a *weight sequence* if $M_k^{1/k} \rightarrow \infty$.

Remark 2.1. We wish to warn the reader that some authors (e.g. [9], [21]) prefer to work with “*sequences without factorials*”, that is m_k instead of M_k . Consequently, conditions on weight sequences sometimes look slightly different depending on the used convention.

Note that μ uniquely determines M and m , and vice versa. In analogy we shall use $\nu \leftrightarrow N \leftrightarrow n$, $\sigma \leftrightarrow S \leftrightarrow s$, etc. That μ is increasing means precisely that M is logarithmically convex (log-convex for short). Log-convexity of m is a stronger condition.

Since μ is increasing, (2.1) entails

$$(2.3) \quad \forall k \in \mathbb{N}_{>0} : M_k^{1/k} \leq \mu_k,$$

or equivalently, $M_k^{1/k}$ is increasing. Another consequence is $M_j M_k \leq M_{j+k}$ for all k, j .

A weight sequence M is called *non-quasianalytic* if $\sum_k 1/\mu_k < \infty$; by the Denjoy–Carleman theorem (e.g. [13, Theorem 1.3.8]) this is the case if and only if the associated class of ultradifferentiable functions contains non-trivial elements with compact support.

Two weight sequences M and N are said to be *equivalent* if $M_k^{1/k} \sim N_k^{1/k}$; a sufficient condition for this is $\mu \sim \nu$. This means that the associated classes of ultradifferentiable functions coincide; see Section 3.1.

2.2. Associated functions. The following facts are well-known; we refer to [17] and [9]. With a weight sequence M we associate the function

$$(2.4) \quad h_M(t) := \inf_{k \in \mathbb{N}} M_k t^k, \quad t > 0, \quad h_M(0) := 0.$$

Then h_M is increasing, continuous, and positive for $t > 0$. For $t \geq 1/\mu_1$ we have $h_M(t) = 1$. From h_M we may recover the sequence M by $M_k = \sup_{t>0} t^{-k} h_M(t)$. We associate the counting function Γ_M by setting

$$(2.5) \quad \Gamma_M(t) := \min\{k : h_M(t) = M_k t^k\} = \min\{k : \mu_{k+1} \geq t^{-1}\};$$

for this identity we need that μ is increasing. Then:

$$(2.6) \quad k \mapsto M_k t^k \text{ is decreasing for } k \leq \Gamma_M(t),$$

$$(2.7) \quad h_M(t) = M_{\Gamma_M(t)} t^{\Gamma_M(t)} \leq M_k t^k \text{ for all } k.$$

We shall also use

$$(2.8) \quad \Sigma_M(t) := |\{k \geq 1 : \mu_k \leq t\}| = \max\{k : \mu_k \leq t\}.$$

Note that

$$\Gamma_M(t^{-1}) \leq \Sigma_M(t) \text{ for all } t > 0, \text{ and } \Gamma_M(t^{-1}) = \Sigma_M(t) \text{ if } t \notin \{\mu_k\}_k.$$

It is well-known (cf. [17] and [14]) that ω_M defined by $h_M(t) = \exp(-\omega_M(1/t))$ satisfies

$$(2.9) \quad \omega_M(t) = \int_0^t \frac{\Sigma_M(u)}{u} du.$$

2.3. Moderate growth. A weight sequence M is said to have *moderate growth* if the equivalent conditions of the following lemma are satisfied; some authors call it *stability under ultradifferentiable operators*, e.g. [14, (M.2)].

Lemma 2.2. *Let M be a weight sequence. The following are equivalent:*

- (0) $\exists C \geq 1 \forall j, k \in \mathbb{N} : m_{j+k} \leq C^{j+k} m_j m_k.$
- (1) $\exists C \geq 1 \forall j, k \in \mathbb{N} : M_{j+k} \leq C^{j+k} M_j M_k.$
- (2) $\mu_k \lesssim M_k^{1/k}.$
- (3) $\mu_{2k} \lesssim \mu_k.$
- (4) $\exists C \geq 1 \forall t > 0 : 2\Sigma_M(t) \leq \Sigma_M(Ct).$
- (5) $\exists C \geq 1 \forall t \geq 0 : 2\omega_M(t) \leq \omega_M(Ct) + C.$

Proof. Most of this is well-known. (0) \Leftrightarrow (1) since $1 \leq \binom{k+j}{j} \leq 2^{k+j}$. For (1) \Leftrightarrow (3) see [19, Appendix B] and for (1) \Leftrightarrow (5) see [14, Proposition 3.6].

(1) \Rightarrow (2) We have $\mu_k^k \leq \mu_{k+1}^k \leq \mu_{k+1} \cdots \mu_{2k} = M_{2k}/M_k \leq C^{2k} M_k.$

(2) \Rightarrow (1) Note that $\mu_k \leq C M_k^{1/k}$ if and only if $M_k^{1/k} \leq C^{1/(k-1)} M_{k-1}^{1/(k-1)}$. By iteration, $M_{2k}^{1/(2k)} \leq C M_k^{1/k}$ and thus $M_{2k} \leq C^{2k} M_k^2$. By [18, Theorem 1], this implies (1).

(3) \Rightarrow (4) follows from the definition of Σ_M .

(4) \Rightarrow (5) By (2.9),

$$2\omega_M(t) = \int_0^t \frac{2\Sigma_M(s)}{s} ds \leq \int_0^t \frac{\Sigma_M(Cs)}{s} ds = \int_0^{Ct} \frac{\Sigma_M(\sigma)}{\sigma} d\sigma = \omega_M(Ct). \quad \square$$

Remark 2.3. In [9] and [10] a weight sequence is said to have moderate growth if it satisfies (1) and $M_{k+1}^k \leq C^k M_k^{k+1}$ for all k . It is easy to see that the latter condition is equivalent to (2); so it is superfluous by the lemma.

The proof of the lemma shows that M having moderate growth is also equivalent to $\mu_{k+1} \lesssim M_k^{1/k}$, and with (2.3) we obtain,

$$(2.10) \quad \mu_{k+1} \lesssim \mu_k.$$

This condition means that the sequence $\log M_k$ is *almost concave* in the sense that there is a constant $C > 0$ such that

$$\log M_{k-1} + \log M_{k+1} \leq 2 \log M_k + C$$

for all k . There are weight sequences of non-moderate growth that satisfy (2.10), for instance, $M_k = A^{k^p}$, where $A > 1$ and $0 < p \leq 2$; cf. Section 5.5.

The weight sequences associated with a weight function ω (see Section 2.5) do in general not have moderate growth (nor is the weaker condition (2.10) guaranteed); cf. Section 5.5. However, we shall see in Lemma 2.6 below that all associated sequences *together* fulfill a *moderate growth condition*. In the next lemma we show how this condition translates to the functions h_M and Γ_M .

Lemma 2.4. *Let $M = (M_k)$ and $\dot{M} = (\dot{M}_k)$ be weight sequences such that*

$$(2.11) \quad \mu_{2k} \lesssim \dot{\mu}_k.$$

Then

$$(2.12) \quad \exists C \geq 1 \ \forall t > 0 : h_M(t) \leq h_{\dot{M}}(Ct)^2,$$

$$(2.13) \quad \exists \lambda < 1 \ \forall t > 0 : 2\Gamma_{\dot{M}}(t) \leq \Gamma_M(\lambda t).$$

Proof. Condition (2.11) implies $2\Sigma_{\dot{M}}(t) \leq \Sigma_M(Ct)$ for all $t > 0$ and some $C \geq 1$, by (2.8). Using (2.9), we obtain $2\omega_{\dot{M}}(t) \leq \omega_M(Ct)$ for all $t > 0$, which is clearly equivalent to (2.12). Similarly, (2.11) implies $2\Gamma_{\dot{M}}(Ct) \leq \Gamma_M(t)$ for all $t > 0$, i.e., (2.13). \square

Remark 2.5. Note that (2.12) is equivalent to

$$(2.14) \quad \exists C \geq 1 \ \forall k, j \in \mathbb{N} : M_{k+j} \leq C^{k+j} \dot{M}_j \dot{M}_k.$$

In fact, that (2.12) implies (2.14) was shown in [23, Proposition 3.6]. For the opposite direction, note that $N_k := \min_{0 \leq j \leq k} \dot{M}_j \dot{M}_{k-j}$ is log-convex, by [14, Lemma 3.5]. By (2.14), $M_k \leq C^k N_k$ for all k , and thus $h_M(t) = \inf_k M_k t^k \leq \inf_k N_k (Ct)^k = h_N(Ct)$. Now (2.12) follows from the fact that $h_N = (h_{\dot{M}})^2$, see [14, Lemma 3.5].

2.4. Weight functions. A *weight function* is a continuous increasing function $\omega : [0, \infty) \rightarrow [0, \infty)$ with $\omega|_{[0,1]} = 0$ and $\lim_{t \rightarrow \infty} \omega(t) = \infty$ that satisfies

$$(2.15) \quad \omega(2t) = O(\omega(t)) \quad \text{as } t \rightarrow \infty,$$

$$(2.16) \quad \omega(t) = O(t) \quad \text{as } t \rightarrow \infty,$$

$$(2.17) \quad \log t = o(\omega(t)) \quad \text{as } t \rightarrow \infty,$$

$$(2.18) \quad \varphi(t) := \omega(e^t) \text{ is convex.}$$

For a weight function ω we consider the *Young conjugate* φ^* of φ ,

$$\varphi^*(x) := \sup_{y \geq 0} xy - \varphi(y), \quad x \geq 0,$$

which is a convex increasing function satisfying $\varphi^*(0) = 0$, $\varphi^{**} = \varphi$, and $x/\varphi^*(x) \rightarrow 0$ as $x \rightarrow \infty$; cf. [7].

2.5. The weight matrix associated with a weight function. With a weight function ω we associate a *weight matrix* $\mathfrak{W} = \{W^x\}_{x>0}$ by setting

$$W_k^x := \exp\left(\frac{1}{x} \varphi^*(xk)\right), \quad k \in \mathbb{N};$$

cf. [21, 5.5]. By the properties of φ^* , each W^x is a weight sequence (in the sense of Section 2.1). Moreover, setting $\vartheta_k^x := W_k^x / W_{k-1}^x$ we have $\vartheta^x \leq \vartheta^y$ if $x \leq y$, which entails $W^x \leq W^y$.

Lemma 2.6. *For all $x > 0$ and all $k \in \mathbb{N}_{\geq 2}$, $\vartheta_{2k}^x \leq \vartheta_k^{4x}$.*

Proof. The inequality $\vartheta_{2k}^x \leq \vartheta_k^{4x}$ is equivalent to

$$\frac{1}{x}(\varphi^*(2kx) - \varphi^*((2k-1)x)) \leq \frac{1}{4x}(\varphi^*(4kx) - \varphi^*(4(k-1)x)),$$

which follows from the convexity of φ^* . \square

3. SPACES OF FUNCTIONS AND JETS

3.1. Ultradifferentiable functions. Let $M = (M_k)$ be a weight sequence and $\rho > 0$. We consider the Banach space $\mathcal{B}_\rho^M(\mathbb{R}^n) := \{f \in C^\infty(\mathbb{R}^n) : \|f\|_\rho^M < \infty\}$, where

$$\|f\|_\rho^M := \sup_{x \in \mathbb{R}^n, \alpha \in \mathbb{N}^n} \frac{|\partial^\alpha f(x)|}{\rho^{|\alpha|} M_{|\alpha|}},$$

and the inductive limit

$$(3.1) \quad \mathcal{B}^{\{M\}}(\mathbb{R}^n) := \text{ind}_{\rho \in \mathbb{N}} \mathcal{B}_\rho^M(\mathbb{R}^n).$$

For weight sequences M and N we have $\mathcal{B}^{\{M\}} \subseteq \mathcal{B}^{\{N\}}$ if and only if $M_k^{1/k} \lesssim N_k^{1/k}$; one implication is obvious, the other follows from the existence of *characteristic* $\mathcal{B}^{\{M\}}$ -functions, cf. [21, Lemma 2.9 and Proposition 2.12].

Let ω be a weight function and $\rho > 0$. We consider the Banach space $\mathcal{B}_\rho^\omega(\mathbb{R}^n) := \{f \in C^\infty(\mathbb{R}^n) : \|f\|_\rho^\omega < \infty\}$, where

$$\|f\|_\rho^\omega := \sup_{x \in \mathbb{R}^n, \alpha \in \mathbb{N}^n} |\partial^\alpha f(x)| \exp(-\frac{1}{\rho} \varphi^*(\rho|\alpha|)),$$

and the inductive limit

$$(3.2) \quad \mathcal{B}^{\{\omega\}}(\mathbb{R}^n) := \text{ind}_{\rho \in \mathbb{N}} \mathcal{B}_\rho^\omega(\mathbb{R}^n).$$

For weight functions ω and σ we have $\mathcal{B}^{\{\omega\}} \subseteq \mathcal{B}^{\{\sigma\}}$ if and only if $\sigma(t) = O(\omega(t))$ as $t \rightarrow \infty$; cf. [21, Corollary 5.17].

The associated weight matrix \mathfrak{W} allows us to describe any class $\mathcal{B}^{\{\omega\}}(\mathbb{R}^n)$ as a union of spaces of type (3.1):

Theorem 3.1 ([21, Corollaries 5.8 and 5.15]). *Let ω be a weight function and let $\mathfrak{W} = \{W^x\}_{x>0}$ be the associated weight matrix. Then, as locally convex spaces,*

$$\mathcal{B}^{\{\omega\}}(\mathbb{R}^n) = \text{ind}_{x>0} \mathcal{B}^{\{W^x\}}(\mathbb{R}^n) = \text{ind}_{x>0} \text{ind}_{\rho>0} \mathcal{B}_\rho^{W^x}(\mathbb{R}^n).$$

We have $\mathcal{B}^{\{\omega\}}(\mathbb{R}^n) = \mathcal{B}^{\{W^x\}}(\mathbb{R}^n)$ for all $x > 0$ if and only if

$$(3.3) \quad \exists H \geq 1 \forall t \geq 0 : 2\omega(t) \leq \omega(Ht) + H.$$

Moreover, (3.3) holds if and only if some (equivalently each) W^x has moderate growth.

Remark 3.2. Let us emphasize that the fact that $\mathcal{B}^{\{\omega\}} = \mathcal{B}^{\{M\}}$ for some weight sequence M if and only if ω satisfies (3.3) is due to [4].

Motivated by this result we define a *weight matrix* to be a family \mathfrak{M} of weight sequences which is totally ordered with respect to the pointwise order relation on sequences, i.e.,

- (1) $\mathfrak{M} \subseteq \mathbb{R}^{\mathbb{N}}$,
- (2) each $M \in \mathfrak{M}$ is a weight sequence in the sense of Section 2.1,
- (3) for all $M, \dot{M} \in \mathfrak{M}$ we have $M \leq \dot{M}$ or $M \geq \dot{M}$.

For a weight matrix \mathfrak{M} we consider

$$\mathcal{B}^{\{\mathfrak{M}\}}(\mathbb{R}^n) := \text{ind}_{M \in \mathfrak{M}} \mathcal{B}^{\{M\}}(\mathbb{R}^n) = \text{ind}_{M \in \mathfrak{M}} \text{ind}_{\rho>0} \mathcal{B}_\rho^M(\mathbb{R}^n).$$

For weight matrices \mathfrak{M} and \mathfrak{N} we have $\mathcal{B}^{\{\mathfrak{M}\}} \subseteq \mathcal{B}^{\{\mathfrak{N}\}}$ if and only if $\forall M \in \mathfrak{M} \exists N \in \mathfrak{N} : M_k^{1/k} \lesssim N_k^{1/k}$; cf. [21, Proposition 4.6].

3.2. Whitney jets of controlled growth. Let E be a compact subset of \mathbb{R}^n . We denote by $\mathcal{J}^\infty(E)$ the vector space of all jets $F = (F^\alpha)_{\alpha \in \mathbb{N}^n} \in C^0(E, \mathbb{R})^{\mathbb{N}^n}$ on E . For $a \in E$ and $p \in \mathbb{N}$ we associate the Taylor polynomial

$$T_a^p : \mathcal{J}^\infty(E) \rightarrow C^\infty(\mathbb{R}^n, \mathbb{R}), \quad F \mapsto T_a^p F(x) := \sum_{|\alpha| \leq p} \frac{(x-a)^\alpha}{\alpha!} F^\alpha(a),$$

and the remainder $R_a^p F = ((R_a^p F)^\alpha)_{|\alpha| \leq p}$ with

$$(R_a^p F)^\alpha(x) := F^\alpha(x) - \sum_{|\beta| \leq p-|\alpha|} \frac{(x-a)^\beta}{\beta!} F^{\alpha+\beta}(a), \quad a, x \in E.$$

Let us denote by j_E^∞ the mapping which assigns to a C^∞ -function f on \mathbb{R}^n the jet $j_E^\infty(f) := (\partial^\alpha f|_E)_\alpha$. By Taylor's formula, $F = j_E^\infty(f)$ satisfies

$$(R_a^p F)^\alpha(x) = o(|x-a|^{p-|\alpha|}) \quad \text{for } a, x \in E, p \in \mathbb{N}, |\alpha| \leq p \text{ as } |x-a| \rightarrow 0.$$

Conversely, if a jet $F \in \mathcal{J}^\infty(E)$ has this property, then it admits a C^∞ -extension to \mathbb{R}^n , by Whitney's extension theorem [27] (for modern accounts see e.g. [16, Ch. 1], [26, IV.3], or [13, Theorem 2.3.6]).

Definition 3.3 (Ultradifferentiable Whitney jets). Let $E \subseteq \mathbb{R}^n$ be compact. Let $M = (M_k)$ be a weight sequence. For fixed $\rho > 0$ we denote by $\mathcal{B}_\rho^M(E)$ the set of all jets F satisfying (1.1) and (1.2); the smallest constant C defines a complete norm on $\mathcal{B}_\rho^M(E)$. We define

$$\mathcal{B}^{\{M\}}(E) := \text{ind}_{\rho \in \mathbb{N}} \mathcal{B}_\rho^M(E).$$

An element of $\mathcal{B}^{\{M\}}(E)$ is called a *Whitney jet of class $\mathcal{B}^{\{M\}}$ on E* .

Let \mathfrak{M} be a weight matrix. A jet F is said to be a *Whitney jet of class $\mathcal{B}^{\{\mathfrak{M}\}}$ on E* if $F \in \mathcal{B}^{\{M\}}(E)$ for some $M \in \mathfrak{M}$; we set

$$\mathcal{B}^{\{\mathfrak{M}\}}(E) = \text{ind}_{M \in \mathfrak{M}} \mathcal{B}^{\{M\}}(E) = \text{ind}_{M \in \mathfrak{M}} \text{ind}_{\rho > 0} \mathcal{B}_\rho^M(E).$$

Let ω be a weight function and \mathfrak{W} the associated weight matrix. A jet F is said to be a *Whitney jet of class $\mathcal{B}^{\{\omega\}}$ on E* if $F \in \mathcal{B}^{\{\mathfrak{W}\}}(E)$; the topology on $\mathcal{B}^{\{\omega\}}(E)$ is given by the identification $\mathcal{B}^{\{\omega\}}(E) = \mathcal{B}^{\{\mathfrak{W}\}}(E)$.

Remark 3.4. This definition of Whitney jet of class $\mathcal{B}^{\{\omega\}}$ on E coincides with the one given in [3]. This follows from the fact that any weight matrix \mathfrak{W} associated with a weight function has the following property:

$$(3.4) \quad \forall \rho > 0 \exists H \geq 1 \forall x > 0 \exists C \geq 1 \forall k \in \mathbb{N} : \rho^k W_k^x \leq C W_k^{Hx};$$

cf. [21, Lemma 5.9].

4. A SPECIAL PARTITION OF UNITY

4.1. The descendant of a non-quasianalytic weight sequence. Following an idea in the proof of [20, Proposition 1.1] we associate with any non-quasianalytic weight sequence N a weight sequence S with many good properties.

Definition 4.1 (Descendant). Let $\nu = (\nu_k)$ be an increasing positive sequence with $\nu_0 = 1$ and $\sum_k 1/\nu_k < \infty$. Let us associate a positive sequence $\sigma = \sigma(\nu)$ in the following way. We define

$$(4.1) \quad \tau_k := \frac{k}{\nu_k} + \sum_{j \geq k} \frac{1}{\nu_j}, \quad k \geq 1,$$

and set

$$(4.2) \quad \sigma_k := \frac{\tau_1 k}{\tau_k}, \quad k \geq 1, \quad \sigma_0 := 1.$$

We say that σ is the descendant of ν ; we shall also say that $S_k = \sigma_0 \sigma_1 \cdots \sigma_k$ is the descendant of $N_k = \nu_0 \nu_1 \cdots \nu_k$.

We shall also use the abbreviations $\sigma_k^* := \sigma_k/k$ and $\nu_k^* := \nu_k/k$.

Lemma 4.2. *Let σ be the descendant of ν . Then:*

- (1) $\sigma \lesssim \nu$.
- (2) $\sum_{j \geq k} 1/\nu_j \lesssim k/\sigma_k$.
- (3) $1 \leq \sigma_k^*$ is increasing to ∞ .
- (4) $\sigma_{k+1} \lesssim \sigma_k$ if and only if

$$(4.3) \quad \exists C > 0 \forall k \in \mathbb{N} : \frac{\nu_{k+1}}{\nu_k} \leq (C+1) + C\nu_{k+1}^* \sum_{j \geq k+1} \frac{1}{\nu_j},$$

in particular, if $\nu_{k+1} \lesssim \nu_k$.

- (5) If μ is an increasing positive sequence satisfying $\mu \lesssim \nu$ and $\sum_{j \geq k} 1/\nu_j \lesssim k/\mu_k$, then $\mu \lesssim \sigma$, i.e., σ is the largest sequence satisfying (1) and (2).
- (6) Let $\dot{\nu}$ be another increasing positive sequence with $\sum_k 1/\dot{\nu}_k < \infty$ and $\dot{\sigma}$ its descendant. Then $\nu_{2k} \lesssim \dot{\nu}_k$ implies $\sigma_{2k} \lesssim \dot{\sigma}_k$.

Proof. (1), (2), and (3) are immediate.

(4) This follows by a straightforward computation using that $\sigma_{k+1} \lesssim \sigma_k$ is equivalent to $\tau_k \lesssim \tau_{k+1}$ and

$$\tau_k - \tau_{k+1} = (k+1) \left(\frac{1}{\nu_k} - \frac{1}{\nu_{k+1}} \right).$$

(5) The assumptions on μ imply

$$\tau_k \lesssim \frac{k}{\nu_k} + \frac{k}{\mu_k} \lesssim \frac{k}{\mu_k}.$$

(6) If $\nu_{2k} \lesssim \dot{\nu}_k$, then

$$\dot{\tau}_k = \frac{k}{\dot{\nu}_k} + \sum_{j \geq k} \frac{1}{\dot{\nu}_j} \lesssim \frac{k}{\nu_{2k}} + \sum_{j \geq k} \frac{1}{\nu_{2j}} \leq \frac{2k}{\nu_{2k}} + \sum_{j \geq 2k} \frac{1}{\nu_j} = \tau_{2k}$$

which implies $\sigma_{2k} \lesssim \dot{\sigma}_k$. □

Remark 4.3. Notice that the descendant S of N has the property that even $s_k = S_k/k!$ is a weight sequence (not only S). Hence we can work with the functions h_s , Γ_s , and Σ_s introduced in Section 2.2.

The descendent σ is equivalent to ν , i.e., $\sigma \sim \nu$, if and only if $\sum_{j \geq k} 1/\nu_j \lesssim k/\nu_k$; this follows from (1), (2), and (5) in Lemma 4.2. The latter is the so-called *strong non-quasianalyticity* condition (cf. [14], [8], [9], and [20], where it is condition (γ_1)). It is well-known that, if ν^* is increasing and N has moderate growth, then the strong non-quasianalyticity condition is equivalent to $j_E^\infty : \mathcal{B}^{\{N\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{N\}}(E)$ being surjective for every compact $E \subseteq \mathbb{R}^n$; see e.g. [9, Theorem 30] and Section 5.4 below.

Moreover, we want to remark that one can recover a predecessor ν from its descendant σ . Provided that a positive sequence σ satisfying 4.2(3) is given one can choose $\tau_1 := 2$ and $\nu_1 := 1$ and then solve the equations (4.1) and (4.2)

recursively for ν_k . The resulting sequence ν is increasing, satisfies $\sum_{k \geq 1} 1/\nu_k = 1$, and its descendant is σ .

4.2. A special partition of unity. We construct a partition of unity which will be crucial for the proof of the extension theorem. The idea goes back to Bruna [8] who considered a single weight sequence M satisfying $\sum_{\ell \geq k} 1/\mu_\ell \lesssim k/\mu_k$. Chaumat and Chollet [9] extended the construction to the case of two weight sequences M and N satisfying $\sum_{\ell \geq k} 1/\nu_\ell \lesssim k/\mu_k$. We make adjustments to this construction in order to compensate for the moderate growth condition which was heavily used in [9] and [8].

Recall that $\sigma_k^* = \sigma_k/k$ and $s_k = \sigma_1^* \cdots \sigma_k^*$.

Lemma 4.4. *Let ν be an increasing positive sequence satisfying $\nu_0 = 1$, $\sum_k 1/\nu_k < \infty$, and (4.3). Let $\dot{\nu}$ be another increasing positive sequence such that $\nu_k \lesssim \dot{N}_k^{1/k}$. Let σ be the descendant of ν . There is a constant $A \geq 1$ such that for all integers $p \geq 1$ there exists a sequence $(\alpha_k^p)_{k \in \mathbb{N}}$ satisfying*

$$(4.4) \quad \sum_{k \geq 0} \frac{\alpha_k^p}{\alpha_{k+1}^p} \leq 1, \quad \alpha_0^p = 1,$$

$$(4.5) \quad 0 < \alpha_k^p \leq \left(h_s \left(\frac{1}{3\sigma_p^*} \right) \right)^{-1} \left(\frac{A}{\sigma_{p+1}^*} \right)^k \dot{N}_k.$$

Proof. Let $A \geq 1$ be a constant which shall be specified later. Define

$$\alpha_k^p := \begin{cases} (A/\sigma_{p+1}^*)^k \dot{N}_k & \text{if } k > p, \\ (2p)^k & \text{if } k \leq p. \end{cases}$$

By Lemma 4.2, $\sigma_{k+1} \lesssim \sigma_k \lesssim \nu_k \lesssim \dot{N}_k^{1/k}$ and thus, for some constant $C \geq 1$,

$$\alpha_p^p = 2^p p^p \leq \left(\frac{2C}{\sigma_{p+1}^*} \right)^p \dot{N}_p.$$

So, for $k \geq p$,

$$\frac{\alpha_k^p}{\alpha_{k+1}^p} \leq \frac{\sigma_{p+1}^*}{A \dot{\nu}_{k+1}},$$

provided that $A \geq 2C$. Hence, since $\sum_{j \geq k} 1/\dot{\nu}_j \lesssim \sum_{j \geq k} 1/\nu_j \lesssim k/\sigma_k$ by (2.3) and Lemma 4.2,

$$\sum_{k \geq 0} \frac{\alpha_k^p}{\alpha_{k+1}^p} \leq \sum_{k < p} \frac{1}{2p} + \frac{\sigma_{p+1}^*}{A} \sum_{k \geq p} \frac{1}{\dot{\nu}_{k+1}} \leq 1,$$

if A is chosen large enough. Since always $h_s \leq 1$, (4.5) is obvious for $k > p$. If $k \leq p$, then, using $\sigma_{k+1} \lesssim \sigma_k$ and $\sigma \lesssim \dot{\nu}$,

$$\frac{\alpha_k^p}{(A/\sigma_{p+1}^*)^k \dot{N}_k} = \frac{2^k p^k}{(A/\sigma_{p+1}^*)^k \dot{N}_k} \leq \frac{\sigma_{p+1}^k}{(A/2)^k \dot{N}_k} \leq \frac{\sigma_p^k}{S_k},$$

provided that A is large enough. Since $\sigma_p^k/S_k \leq \sigma_p^p/S_p$ if $k \leq p$, we obtain

$$\frac{\alpha_k^p}{(A/\sigma_{p+1}^*)^k \dot{N}_k} \leq \frac{\sigma_p^p}{S_p} = \frac{\sigma_p^p}{p! s_p} \leq \frac{(3\sigma_p^*)^p}{s_p} \leq \left(h_s \left(\frac{1}{3\sigma_p^*} \right) \right)^{-1},$$

by (2.4). The proof is complete. \square

Proposition 4.5. *In the setup of Lemma 4.4 there is a constant $B \geq 1$ such that for all $\epsilon > 0$ and all $t > 1$ there exists a C^∞ -function $\phi_{\epsilon,t}$ satisfying*

- (1) $0 \leq \phi_{\epsilon,t}(x) \leq 1$ for all $x \in \mathbb{R}^n$,
- (2) $\phi_{\epsilon,t}(x) = 1$ for all $x \in \mathbb{R}^n$ with $|x| \leq 1$,
- (3) $\phi_{\epsilon,t}(x) = 0$ for all $x \in \mathbb{R}^n$ with $|x| \geq t$,
- (4) for all $\beta \in \mathbb{N}^n$ and all $x \in \mathbb{R}^n$,

$$|\phi_{\epsilon,t}^{(\beta)}(x)| \leq \frac{\epsilon^{|\beta|} \dot{N}_{|\beta|}}{h_s(B\epsilon(t-1))}.$$

Proof. It suffices to consider the case $n = 1$ and $t = 2$; the general case follows by composition with suitable functions, e.g., $\phi_{\epsilon,t}(x) := \phi_{(t-1)\epsilon,2}(\theta(x))$ where θ is an odd diffeomorphism of \mathbb{R} satisfying $\theta(x) = (x+t-2)/(t-1)$ for $x \geq 1$.

Let A be the constant from Lemma 4.4. Fix $0 < \eta \leq 2A/\sigma_1^* = 2A$. Since σ^* is increasing and tends to ∞ , by Lemma 4.2, there is an integer $p \geq 1$ such that

$$(4.6) \quad \frac{2A}{\sigma_{p+1}^*} \leq \eta \leq \frac{2A}{\sigma_p^*}.$$

By Lemma 4.4 and [13, Theorem 1.3.5] (cf. [9, p.14-15]), there exists a smooth function ψ_η with support contained in $[-2, 2]$ satisfying $0 \leq \psi_\eta \leq 1$, $\psi_\eta(t) = 1$ if $t \in [-1, 1]$, and

$$|\psi_\eta^{(k)}(t)| \leq 2^{k-1} \alpha_k^p \leq \left(h_s\left(\frac{1}{3\sigma_p^*}\right)\right)^{-1} \left(\frac{2A}{\sigma_{p+1}^*}\right)^k \dot{N}_k \leq \frac{\eta^k \dot{N}_k}{h_s(\eta/(6A))},$$

by (4.6). For $\eta > 2A$ we put $\psi_\eta := \psi_{2A}$; then since $h_s \leq 1$,

$$|\psi_\eta^{(k)}(t)| \leq \frac{(2A)^k \dot{N}_k}{h_s(2A/(6A))} \leq \frac{1}{h_s(1/3)} \frac{\eta^k \dot{N}_k}{h_s(\eta/(6A))}.$$

If $\delta := 1/h_s(1/3)$ then for every $\eta > 0$,

$$|\psi_\eta^{(k)}(t)| \leq \frac{(\delta\eta)^k \dot{N}_k}{h_s(\eta/(6A))}.$$

The statement follows with $B := 1/(6\delta A)$ if we set $\epsilon = \delta\eta$ and $\phi_{\epsilon,2} := \psi_{\epsilon/\delta}$. \square

Before we continue the construction of the partition of unity let us specify suitable weight matrices.

Definition 4.6 (Admissible weight matrix). A weight matrix \mathfrak{N} is called *admissible* if the following conditions hold.

- (1) For all $N, \dot{N} \in \mathfrak{N}$ we have $\nu \lesssim \dot{\nu}$ or $\dot{\nu} \lesssim \nu$.
- (2) $\sum_k 1/\nu_k < \infty$ for each $N \in \mathfrak{N}$.
- (3) (4.3) holds for each $N \in \mathfrak{N}$.
- (4) For each $N \in \mathfrak{N}$ there is $\dot{N} \in \mathfrak{N}$ such that $\nu_k \lesssim \dot{N}_k^{1/k}$.
- (5) For each $N \in \mathfrak{N}$ there is $\dot{N} \in \mathfrak{N}$ such that $\nu_{2k} \lesssim \dot{\nu}_k$.

We remark that (4) and (5) imply that for each $N \in \mathfrak{N}$ there is $\ddot{N} \in \mathfrak{N}$ such that $\nu_k \lesssim \ddot{N}_k^{1/k}$ and $\nu_{2k} \lesssim \ddot{\nu}_k$ which we shall frequently use; indeed, $\nu_k \leq \nu_{2k} \lesssim \dot{\nu}_k \lesssim \ddot{N}_k^{1/k} \leq \ddot{\nu}_k$, by (2.3).

Remark 4.7. The relatively strong condition (3) is needed for technical reasons (in Lemma 4.4 and Proposition 4.5). There are situations in which the extension result holds although (3) is violated; see the end of Section 5.5.

Example 4.8. A weight matrix $\mathfrak{N} = \{N\}$ which consists of a single weight sequence N is admissible if and only if N is non-quasianalytic and has moderate growth. This follows from Lemma 2.2.

Definition 4.9 (Admissible weight function). We say that a weight function ω is *admissible* if and only if the associated weight matrix \mathfrak{W} is admissible. That means that ω is non-quasianalytic (i.e. $\int_1^\infty t^{-2}\omega(t) dt < \infty$) and \mathfrak{W} satisfies 4.6(3)&(4); the other conditions in Definition 4.6 hold automatically, see Lemma 2.6 and [21, Corollary 5.8]. Note that a non-quasianalytic weight function ω is admissible if it satisfies (3.3) (see Lemma 2.2 and [21, Lemma 5.7]).

Lemma 4.10. *Let \mathfrak{N} be an admissible weight matrix, and let $n_0 \in \mathbb{N}$. For every $N \in \mathfrak{N}$ there exists $\dot{N} \in \mathfrak{N}$ such that the descendants S of N and \dot{S} of \dot{N} satisfy*

$$(4.7) \quad h_s(t) \leq h_{\dot{s}}(At)^{n_0}, \quad t > 0,$$

for some constant $A = A(n_0, N)$.

Proof. Let $N \in \mathfrak{N}$ be fixed. There exist $\dot{N}, \ddot{N}, \dots \in \mathfrak{N}$ such that

$$\nu_{2k} \lesssim \dot{\nu}_k \leq \dot{\nu}_{2k} \lesssim \ddot{\nu}_k \leq \ddot{\nu}_{2k} \lesssim \dots$$

and the same relations hold for the respective descendants, by Lemma 4.2, and so

$$\sigma_{2k}^* \lesssim \dot{\sigma}_k^* \leq \dot{\sigma}_{2k}^* \lesssim \ddot{\sigma}_k^* \leq \ddot{\sigma}_{2k}^* \lesssim \dots$$

By Lemma 2.4, there are constants $A, \dot{A}, \dots \geq 1$ such that

$$h_s(t) \leq h_{\dot{s}}(At)^2 \leq h_{\ddot{s}}(A\dot{A}t)^4 \leq h_{\ddot{\ddot{s}}}(A\dot{A}\ddot{A}t)^8 \leq \dots$$

After finitely many iterations we obtain (4.7). \square

Now we are ready to finish the construction. We will use the following lemma. We denote by $B(x, r) = \{y \in \mathbb{R}^n : |x - y| < r\}$ the open ball centered at $x \in \mathbb{R}^n$ with radius $r > 0$ and by $d(x, E) = \inf\{|x - y| : y \in E\}$ the Euclidean distance of x to some set $E \subseteq \mathbb{R}^n$.

Lemma 4.11 ([9, Proposition 5], [11]). *There exist constants $0 < a < 1$, $b > 1$, $c > 1$, $n_0 \in \mathbb{N}_{>1}$, such that for all compact $E \subseteq \mathbb{R}^n$ there is a family of open balls $\{B(x_i, r_i)\}_{i \in \mathbb{N}}$ with the following properties:*

- (1) $\mathbb{R}^n \setminus E = \bigcup_i B(x_i, r_i) = \bigcup_i B(x_i, cr_i)$,
- (2) for $x \in B(x_i, cr_i)$ we have $ar_i \leq d(x, E) \leq br_i$ and $a d(x, E) \leq d(x_i, E) \leq b d(x, E)$,
- (3) for each j the ball $B(x_j, cr_j)$ intersects at most n_0 balls of the collection $\{B(x_i, cr_i)\}_{i \in \mathbb{N}}$.

Proposition 4.12 (Partition of unity). *Let $E \subseteq \mathbb{R}^n$ be a compact set and let $\{B(x_i, r_i)\}_{i \in \mathbb{N}}$ be the family of balls provided by Lemma 4.11. Let \mathfrak{N} be an admissible weight matrix, $N \in \mathfrak{N}$, and S the descendant of N . Then there exists $\ddot{N} \in \mathfrak{N}$ and $B_1 \geq 1$ such that for all $\epsilon > 0$ there is a family of C^∞ -functions $\{\varphi_{i,\epsilon}\}_{i \in \mathbb{N}}$ satisfying*

- (1) $0 \leq \varphi_{i,\epsilon} \leq 1$ for all $i \in \mathbb{N}$,
- (2) $\text{supp } \varphi_{i,\epsilon} \subseteq B(x_i, cr_i)$ for all $i \in \mathbb{N}$,
- (3) $\sum_{i \in \mathbb{N}} \varphi_{i,\epsilon}(x) = 1$ for all $x \in \mathbb{R}^n \setminus E$,

(4) for all $\beta \in \mathbb{N}^n$ and $x \in \mathbb{R}^n \setminus E$,

$$|\varphi_{i,\epsilon}^{(\beta)}(x)| \leq \frac{\epsilon^{|\beta|} \ddot{N}_{|\beta|}}{h_s(B_1 \epsilon d(x, E))}.$$

Proof. By Lemma 4.10, there is $\dot{N} \in \mathfrak{N}$ such that its descendant \dot{S} satisfies (4.7). There is $\ddot{N} \in \mathfrak{N}$ such that $\dot{\nu}_k \lesssim \ddot{N}_k^{1/k}$ and $\dot{\nu}_{2k} \lesssim \ddot{\nu}_k$. Let $\phi_{\epsilon,t}$ be the functions from Proposition 4.5 applied to $\dot{\nu}$ and $\ddot{\nu}$, in particular,

$$|\phi_{\epsilon,t}^{(\beta)}(x)| \leq \frac{\epsilon^{|\beta|} \ddot{N}_{|\beta|}}{h_s(B\epsilon(t-1))}.$$

Set

$$\psi_{i,\epsilon}(x) := \phi_{\epsilon r_i/n_0, c} \left(\frac{x - x_i}{r_i} \right),$$

where n_0 and c are the constants from Lemma 4.11, and define

$$\varphi_{1,\epsilon} := \psi_{1,\epsilon}, \quad \varphi_{j,\epsilon} := \psi_{j,\epsilon} \prod_{k=1}^{j-1} (1 - \psi_{k,\epsilon}), \quad j \geq 2.$$

It is easy to check that (1)–(3) are satisfied (cf. [9] for details). To see (4) observe that, by Lemma 4.11,

$$|\psi_{i,\epsilon}^{(\beta)}(x)| \leq \frac{(\epsilon/n_0)^{|\beta|} \ddot{N}_{|\beta|}}{h_s(B\epsilon r_i(c-1)/n_0)} \leq \frac{(\epsilon/n_0)^{|\beta|} \ddot{N}_{|\beta|}}{h_s(B\epsilon(c-1)(n_0b)^{-1}d(x, E))}.$$

Since in the product defining $\varphi_{j,\epsilon}$ at most n_0 factors are different from 1, we get

$$|\varphi_{i,\epsilon}^{(\beta)}(x)| \leq \frac{\epsilon^{|\beta|} \ddot{N}_{|\beta|}}{h_s(B\epsilon(c-1)(n_0b)^{-1}d(x, E))^{n_0}}.$$

By (4.7), we obtain (4) with $B_1 = B(c-1)/(An_0b)$. \square

5. THE EXTENSION THEOREM

5.1. Preliminaries. Let $E \subseteq \mathbb{R}^n$ be a compact set. Let $S = (S_k)$ be a weight sequence such that $\sigma_k^* = \sigma_k/k$ is increasing and let $F = (F^\alpha)_\alpha$ be a Whitney jet of class $\mathcal{B}^{\{S\}}$ on E , i.e., there exist $C > 0$ and $\rho \geq 1$ such that

$$(5.1) \quad |F^\alpha(a)| \leq C\rho^{|\alpha|} S_{|\alpha|}, \quad \alpha \in \mathbb{N}^n, \quad a \in E,$$

$$(5.2) \quad |(R_a^p F)^\alpha(b)| \leq C\rho^{p+1} |\alpha|! s_{p+1} |b-a|^{p+1-|\alpha|}, \quad p \in \mathbb{N}, \quad |\alpha| \leq p, \quad a, b \in E,$$

where $s_k = \sigma_1^* \cdots \sigma_k^*$. The next lemma is straightforward; for details see [9, Proposition 10].

Lemma 5.1. For $a_1, a_2 \in E$, $x \in \mathbb{R}^n$ and $|\alpha| \leq p$,

$$(5.3) \quad |(T_{a_1}^p F - T_{a_2}^p F)^\alpha(x)| \leq C(2n^2\rho)^{p+1} |\alpha|! s_{p+1} (|a_1 - x| + |a_1 - a_2|)^{p+1-|\alpha|}.$$

For every $x \in \mathbb{R}^n$ we denote by \hat{x} some point in E with $|x - \hat{x}| = d(x, E)$. For simplicity of notation we shall use the abbreviation $d(x) := d(x, E)$. We need a variant of [9, Proposition 9].

Lemma 5.2. *Let S and \dot{S} be weight sequences such that σ_k^* and $\dot{\sigma}_k^*$ are increasing and satisfying $\sigma_{2k} \lesssim \dot{\sigma}_k$. There is a constant $D_1 = D_1(S, \dot{S}) > 1$ such that, for all Whitney jets $F = (F^\alpha)_\alpha$ of class $\mathcal{B}^{\{S\}}$ that satisfy (5.1) and (5.2), all $L \geq D_1\rho$, all $x \in \mathbb{R}^n$, and $\alpha \in \mathbb{N}^n$,*

$$(5.4) \quad |(T_{\hat{x}}^{2\Gamma_{\dot{S}}(Ld(x))} F)^{(\alpha)}(x)| \leq C(2L)^{|\alpha|+1} S_{|\alpha|},$$

and, if $|\alpha| < 2\Gamma_{\dot{S}}(Ld(x))$,

$$(5.5) \quad |(T_{\hat{x}}^{2\Gamma_{\dot{S}}(Ld(x))} F)^{(\alpha)}(x) - F^\alpha(\hat{x})| \leq C(2L)^{|\alpha|+1} |\alpha|! s_{|\alpha|+1} d(x).$$

Proof. For (5.4) we may restrict to the case $|\alpha| \leq 2\Gamma_{\dot{S}}(Ld(x))$. By (5.1),

$$(5.6) \quad \begin{aligned} |(T_{\hat{x}}^{2\Gamma_{\dot{S}}(Ld(x))} F)^{(\alpha)}(x)| &\leq \sum_{\substack{\alpha \leq \beta \\ |\beta| \leq 2\Gamma_{\dot{S}}(Ld(x))}} \frac{|x - \hat{x}|^{|\beta|-|\alpha|}}{(\beta - \alpha)!} C \rho^{|\beta|} S_{|\beta|} \\ &\leq C|\alpha|! \sum_{\substack{\alpha \leq \beta \\ |\beta| \leq 2\Gamma_{\dot{S}}(Ld(x))}} \frac{|\beta|! (nd(x))^{|\beta|-|\alpha|}}{|\alpha|! (|\beta| - |\alpha|)!} \rho^{|\beta|} s_{|\beta|} \\ &\leq \frac{C|\alpha|!}{(nd(x))^{|\alpha|}} \sum_{\substack{\alpha \leq \beta \\ |\beta| \leq 2\Gamma_{\dot{S}}(Ld(x))}} (2n\rho d(x))^{|\beta|} s_{|\beta|} \\ &\leq \frac{C|\alpha|!}{(nd(x))^{|\alpha|}} \sum_{j=|\alpha|}^{2\Gamma_{\dot{S}}(Ld(x))} (2n^2\rho d(x))^j s_j, \end{aligned}$$

since the number of $\beta \in \mathbb{N}^n$ with $|\beta| = j$ is bounded by n^j .

The assumption $\sigma_{2k} \lesssim \dot{\sigma}_k$ is equivalent to $\sigma_{2k}^* \lesssim \dot{\sigma}_k^*$. So, by Lemma 2.4, there is some $\lambda < 1$ such that $2\Gamma_{\dot{S}}(t) \leq \Gamma_S(\lambda t)$ for all $t > 0$, and thus

$$|(T_{\hat{x}}^{2\Gamma_{\dot{S}}(Ld(x))} F)^{(\alpha)}(x)| \leq \frac{C|\alpha|!}{(nd(x))^{|\alpha|}} \sum_{j=|\alpha|}^{\Gamma_S(L\lambda d(x))} (2n^2\rho d(x))^j s_j.$$

By (2.6), $(L\lambda d(x))^j s_j \leq (L\lambda d(x))^{|\alpha|} s_{|\alpha|}$ for $|\alpha| \leq j \leq \Gamma_S(L\lambda d(x))$, and hence

$$|(T_{\hat{x}}^{2\Gamma_{\dot{S}}(Ld(x))} F)^{(\alpha)}(x)| \leq CS_{|\alpha|} \left(\frac{L\lambda}{n}\right)^{|\alpha|} \sum_{j=|\alpha|}^{\Gamma_S(L\lambda d(x))} \left(\frac{2n^2\rho}{L\lambda}\right)^j.$$

We obtain (5.4) if L is chosen such that $2n^2\rho/(L\lambda) \leq 1/2$; then $D_1 = 4n^2/\lambda$.

For (5.5) note that, if $|\alpha| < 2\Gamma_{\dot{S}}(Ld(x))$,

$$(T_{\hat{x}}^{2\Gamma_{\dot{S}}(Ld(x))} F)^{(\alpha)}(x) - F^\alpha(\hat{x}) = \sum_{\substack{\alpha \leq \beta \\ |\alpha| < |\beta| \leq 2\Gamma_{\dot{S}}(Ld(x))}} \frac{(x - \hat{x})^{\beta-\alpha}}{(\beta - \alpha)!} F^\beta(\hat{x}).$$

Thus the same arguments yield (5.5). \square

5.2. The extension theorem.

Theorem 5.3 (Extension theorem). *Let \mathfrak{N} be an admissible weight matrix, $N \in \mathfrak{N}$, and S the descendant of N . Let E be a compact subset of \mathbb{R}^n . Then the jet mapping $j_E^\infty : \mathcal{B}^{\{\mathfrak{N}\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{S\}}(E)$ is surjective.*

Proof. Let $\epsilon, L > 0$ be given. Since \mathfrak{N} is admissible, there exist

- $\dot{N} \in \mathfrak{N}$ such that $\nu_k \lesssim \dot{N}_k^{1/k}$ and $\nu_{2k} \lesssim \dot{\nu}_k$,
- $\ddot{N} \in \mathfrak{N}$ such that $\dot{\nu}_k \lesssim \ddot{N}_k^{1/k}$ and $\dot{\nu}_{2k} \lesssim \ddot{\nu}_k$,

and, by Lemma 4.2, we have $\sigma_{2k+1}^* \lesssim \sigma_{2k}^* \lesssim \dot{\sigma}_k^*$ and $\dot{\sigma}_{2k}^* \lesssim \ddot{\sigma}_k^*$, for the respective descendants. Then, by Lemma 2.4 and Remark 2.5, there are constants $B, D \geq 1$ and $\lambda < 1$ such that

$$(5.7) \quad s_{2k+1} \leq B^{2k+1} \dot{s}_k^2, \quad \text{for all } k,$$

$$(5.8) \quad 2\Gamma_{\dot{s}}(t) \leq \Gamma_s(\lambda t), \quad \text{for } t > 0,$$

$$(5.9) \quad h_{\dot{s}}(t) \leq h_{\ddot{s}}(Dt)^2, \quad \text{for } t > 0.$$

Note that $\dot{N}, \ddot{N}, S, \dot{S}, \ddot{S}$ and thus also the constants B, D and λ only depend on N .

Let $\{B(x_i, r_i)\}_{i \in \mathbb{N}}$ be the family of balls provided by Lemma 4.11. By Proposition 4.12, there is

- $\ddot{N} \in \mathfrak{N}$ and a collection of C^∞ -functions $\{\varphi_{i,\epsilon}\}_{i \in \mathbb{N}}$ satisfying 4.12(1)–(3) and

$$(5.10) \quad |\varphi_{i,\epsilon}^{(\beta)}(x)| \leq \frac{\epsilon^{|\beta|} \ddot{N}_{|\beta|}}{h_{\ddot{s}}(B_1 \epsilon d(x))}, \quad \beta \in \mathbb{N}^n, \quad x \in \mathbb{R}^n \setminus E,$$

for some constant $B_1 = B_1(N)$.

Let $F = (F^\alpha)_\alpha$ be a Whitney jet of class $\mathcal{B}^{\{S\}}$ on E satisfying (5.1) and (5.2). We define

$$f(x) := \begin{cases} \sum_{i \in \mathbb{N}} \varphi_{i,\epsilon}(x) T_{\hat{x}_i}^{2\Gamma_{\dot{s}}(Ld(x_i))} F(x), & \text{if } x \in \mathbb{R}^n \setminus E, \\ F^0(x), & \text{if } x \in E. \end{cases}$$

Clearly, f is C^∞ in $\mathbb{R}^n \setminus E$. The theorem will follow from the following claim.

Claim. *There are constants $K_i = K_i(N)$, $i = 1, \dots, 4$, such that the following holds. If $\epsilon = K_1 L$ and $L > K_2 \rho$, then for all $x \in \mathbb{R}^n \setminus E$ with $d(x) < 1$ and all $\alpha \in \mathbb{N}^n$,*

$$(5.11) \quad |\partial^\alpha(f - T_{\hat{x}}^{2\Gamma_{\dot{s}}(Ld(x))} F)(x)| \leq C(LK_3)^{|\alpha|+1} \ddot{N}_{|\alpha|} h_{\ddot{s}}(LK_4 d(x));$$

C and ρ are the constants from (5.1) and (5.2).

In fact, let us assume that the claim holds. We may additionally assume that $L \geq D_1 \rho$ for the constant D_1 in Lemma 5.2. So, by (5.4) and (5.11), for $x \in \mathbb{R}^n \setminus E$ with $d(x) < 1$ and $\alpha \in \mathbb{N}^n$,

$$(5.12) \quad \begin{aligned} |f^{(\alpha)}(x)| &\leq |(T_{\hat{x}}^{2\Gamma_{\dot{s}}(Ld(x))} F)^{(\alpha)}(x)| + |\partial^\alpha(f - T_{\hat{x}}^{2\Gamma_{\dot{s}}(Ld(x))} F)(x)| \\ &\leq C(LK)^{|\alpha|+1} \ddot{N}_{|\alpha|} \end{aligned}$$

for a suitable constant $K = K(n, N)$, because $h_{\dot{s}} \leq 1$ and $\sigma \lesssim \ddot{\nu}$.

Let us fix a point $a \in E$ and $\alpha \in \mathbb{N}^n$. Since $\Gamma_{\dot{s}}(t) \rightarrow \infty$ as $t \rightarrow 0$, we have $|\alpha| < 2\Gamma_{\dot{s}}(Ld(x))$ if $x \in \mathbb{R}^n \setminus E$ is sufficiently close to a . Thus, as $x \rightarrow a$,

$$\begin{aligned} &|f^{(\alpha)}(x) - F^\alpha(a)| \\ &\leq |\partial^\alpha(f - T_{\hat{x}}^{2\Gamma_{\dot{s}}(Ld(x))} F)(x)| + |(T_{\hat{x}}^{2\Gamma_{\dot{s}}(Ld(x))} F)^{(\alpha)}(x) - F^\alpha(\hat{x})| + |F^\alpha(\hat{x}) - F^\alpha(a)| \\ &= O(h_{\dot{s}}(LK_4 d(x))) + O(d(x)) + O(|\hat{x} - a|), \end{aligned}$$

by (5.2), (5.5), and (5.11). Hence $f^{(\alpha)}(x) \rightarrow F^\alpha(a)$ as $x \rightarrow a$. We may conclude that $f \in C^\infty(\mathbb{R}^n)$. After multiplication with a suitable cut-off function of class $\mathcal{B}^{\{\ddot{N}\}}$ with support in $\{x : d(x) < 1\}$, we find that $f \in \mathcal{B}^{\{\ddot{N}\}}(\mathbb{R}^n)$ thanks to (5.1) and (5.12). The result follows.

Proof of the claim. By the Leibniz rule,

$$(5.13) \quad \begin{aligned} & \partial^\alpha (f - T_{\hat{x}}^{2\Gamma_{\dot{s}}(Ld(x))} F)(x) \\ &= \sum_{\beta \leq \alpha} \binom{\alpha}{\beta} \sum_i \varphi_{i,\epsilon}^{(\alpha-\beta)}(x) \partial^\beta (T_{\hat{x}_i}^{2\Gamma_{\dot{s}}(Ld(x_i))} F - T_{\hat{x}}^{2\Gamma_{\dot{s}}(Ld(x))} F)(x). \end{aligned}$$

Let us estimate $\partial^\beta (T_{\hat{x}_i}^{2\Gamma_{\dot{s}}(Ld(x_i))} F - T_{\hat{x}}^{2\Gamma_{\dot{s}}(Ld(x))} F)(x) = H_1 + H_2$ for $x \in B(x_i, cr_i)$, where

$$\begin{aligned} H_1 &:= \partial^\beta (T_{\hat{x}_i}^{2\Gamma_{\dot{s}}(Ld(x_i))} F - T_{\hat{x}}^{2\Gamma_{\dot{s}}(Ld(x_i))} F)(x), \\ H_2 &:= \partial^\beta (T_{\hat{x}_i}^{2\Gamma_{\dot{s}}(Ld(x_i))} F - T_{\hat{x}}^{2\Gamma_{\dot{s}}(Ld(x))} F)(x). \end{aligned}$$

Estimation of H_1 . It suffices to consider $|\beta| \leq 2\Gamma_{\dot{s}}(Ld(x_i)) =: 2p$. By Lemma 5.1,

$$|H_1| \leq C(2n^2\rho)^{2p+1} |\beta|! s_{2p+1} (|\hat{x}_i - x| + |\hat{x}_i - \hat{x}|)^{2p+1-|\beta|}.$$

By Lemma 4.11(2), for $x \in B(x_i, cr_i)$,

$$\begin{aligned} |\hat{x}_i - x| &\leq |\hat{x}_i - x_i| + |x_i - x| \leq d(x_i) + cr_i \leq (1 + c/a)d(x_i), \\ |\hat{x}_i - \hat{x}| &\leq |\hat{x}_i - x| + |x - \hat{x}| \leq (1 + (c+1)/a)d(x_i). \end{aligned}$$

If we set $K := 2(1 + (c+1)/a)$ and use (5.7), we obtain

$$|H_1| \leq C(2n^2 B\rho)^{2p+1} |\beta|! \dot{s}_p^2(Kd(x_i))^{2p+1-|\beta|}.$$

Since $h_{\dot{s}}(Ld(x_i)) = \dot{s}_p(Ld(x_i))^p \leq \dot{s}_{|\beta|}(Ld(x_i))^{|\beta|}$, by (2.7), and $d(x_i) \leq b d(x)$, by Lemma 4.11(2),

$$|H_1| \leq C2n^2 B K \rho \left(\frac{2n^2 B K \rho}{L} \right)^{2p} b d(x) |\beta|! \dot{s}_{|\beta|} L^{|\beta|} h_{\dot{s}}(Ld(x_i)).$$

If $L > 2n^2 B K b \rho$ and $d(x) < 1$, then

$$(5.14) \quad |H_1| \leq C L^{|\beta|+1} \dot{S}_{|\beta|} h_{\dot{s}}(Ld(x_i)).$$

Estimation of H_2 . Here we differentiate a polynomial $T_{\hat{x}}^{2\Gamma_{\dot{s}}(Ld(x_i))} F - T_{\hat{x}}^{2\Gamma_{\dot{s}}(Ld(x))} F$ of degree at most $2\Gamma_{\dot{s}}(Ld(x)) \leq \Gamma_s(L\lambda d(x))$, by Lemma 4.11(2) (as $\Gamma_{\dot{s}}$ is decreasing) and (5.8). Again by Lemma 4.11(2), the valuation of the polynomial is at least $2\Gamma_{\dot{s}}(Lb d(x)) =: 2q$. Thus, by the calculation in (5.6),

$$|H_2| \leq \frac{C|\beta|!}{(nd(x))^{|\beta|}} \sum_{j=2q}^{\Gamma_s(L\lambda d(x))} (2n^2 \rho d(x))^j s_j.$$

By (2.6), $s_j(L\lambda ad(x))^j \leq s_{2q}(L\lambda ad(x))^{2q}$, for j in the above sum, and by (2.7), $h_{\dot{s}}(Lbd(x)) = \dot{s}_q(Lbd(x))^q \leq \dot{s}_{|\beta|}(Lbd(x))^{|\beta|}$. Hence, using (5.7), we find

$$\begin{aligned} |H_2| &\leq \frac{C|\beta|!}{(nd(x))^{|\beta|}} \sum_{j=2q}^{\Gamma_s(L\lambda ad(x))} \left(\frac{2n^2\rho}{L\lambda a}\right)^j s_{2q}(L\lambda ad(x))^{2q} \\ &\leq \frac{CB|\beta|!}{(nd(x))^{|\beta|}} \sum_{j=2q}^{\Gamma_s(L\lambda ad(x))} \left(\frac{2n^2\rho}{L\lambda a}\right)^j \dot{s}_q^2(BL\lambda ad(x))^{2q} \\ &\leq CB\left(\frac{Lb}{n}\right)^{|\beta|} |\beta|! \dot{s}_{|\beta|} h_{\dot{s}}(Lbd(x)) \left(\frac{\lambda a}{b}\right)^{2q} \sum_{j=2q}^{\Gamma_s(L\lambda ad(x))} \left(\frac{2n^2B\rho}{L\lambda a}\right)^j. \end{aligned}$$

If we choose $L \geq \frac{4n^2B\rho}{\lambda a}$ then the sum is bounded by 2. Let us furthermore assume that $L > 2nB/b$. Then, as $\lambda < 1$, $a < 1$, $b > 1$,

$$(5.15) \quad |H_2| \leq C\left(\frac{Lb}{n}\right)^{|\beta|+1} \dot{S}_{|\beta|} h_{\dot{s}}(Lbd(x)).$$

Let us finish the proof of the claim. By (5.14) and (5.15), for $x \in B(x_i, cr_i)$ with $d(x) < 1$, using Lemma 4.11(2) and the fact that $h_{\dot{s}}$ is increasing,

$$|\partial^\beta(T_{\hat{x}_i}^{2\Gamma_{\dot{s}}(Ld(x_i))} F - T_{\hat{x}}^{2\Gamma_{\dot{s}}(Ld(x))} F)(x)| \leq C(2bL)^{|\beta|+1} \dot{S}_{|\beta|} h_{\dot{s}}(Lbd(x)).$$

Thus, by (5.10), (5.13), and Lemma 4.11(3),

$$\begin{aligned} &|\partial^\alpha(f - T_{\hat{x}}^{2\Gamma_{\dot{s}}(Ld(x))} F)(x)| \\ &\leq \sum_{\beta \leq \alpha} \frac{\alpha!}{\beta!(\alpha - \beta)!} \cdot n_0 \cdot \frac{\epsilon^{|\alpha|-|\beta|} \ddot{N}_{|\alpha|-|\beta|}}{h_{\ddot{s}}(B_1\epsilon d(x))} \cdot C(2bL)^{|\beta|+1} \dot{S}_{|\beta|} h_{\dot{s}}(Lbd(x)) \\ &\leq Cn_0 \sum_{j=0}^{|\alpha|} \frac{|\alpha|! n^{|\alpha|+j}}{j!(|\alpha|-j)!} \epsilon^{|\alpha|-j} (2bL)^{j+1} \ddot{N}_{|\alpha|-j} \dot{S}_j \frac{h_{\dot{s}}(Lbd(x))}{h_{\ddot{s}}(B_1\epsilon d(x))} \\ &\leq 2bLCn_0 n^{|\alpha|} \ddot{N}_{|\alpha|} \frac{h_{\dot{s}}(Lbd(x))}{h_{\ddot{s}}(B_1\epsilon d(x))} \sum_{j=0}^{|\alpha|} \frac{|\alpha|!}{j!(|\alpha|-j)!} \epsilon^{|\alpha|-j} (2bLnA)^j \\ &= 2bLCn_0 (n(\epsilon + 2bLnA))^{|\alpha|} \ddot{N}_{|\alpha|} \frac{h_{\dot{s}}(Lbd(x))}{h_{\ddot{s}}(B_1\epsilon d(x))}, \end{aligned}$$

since $\dot{\sigma} \lesssim \dot{\nu} \lesssim \ddot{\nu}$, whence $\dot{S}_j \leq A^j \ddot{N}_j$, and since $\ddot{N}_{|\alpha|-j} \ddot{N}_j \leq \ddot{N}_{|\alpha|}$. Let us fix L , according to the restrictions above, and set $\epsilon := LbD/B_1$, where D is the constant from (5.9). Then, by (5.9),

$$\frac{h_{\dot{s}}(Lbd(x))}{h_{\ddot{s}}(B_1\epsilon d(x))} = \frac{h_{\dot{s}}(Lbd(x))}{h_{\ddot{s}}(DLbd(x))} \leq h_{\ddot{s}}(DLbd(x)),$$

and we obtain (5.11). The claim is proved. \square

Remark 5.4. The proof of Theorem 5.3 shows that for each $\rho > 0$ there is a continuous linear extension operator $\mathcal{B}_\rho^S(E) \rightarrow \mathcal{B}_{K\rho}^{\ddot{N}}(\mathbb{R}^n)$ for a suitable constant K . This extension operator depends on ρ (through L and ϵ) and in general there is no continuous extension operator $\mathcal{B}^{\{S\}}(E) \rightarrow \mathcal{B}^{\{\mathfrak{N}\}}(\mathbb{R}^n)$, cf. [20] and [24, p. 223].

5.3. Applications.

Corollary 5.5. *Let \mathfrak{N} be an admissible weight matrix. Let \mathfrak{M} be a weight matrix such that for all $M \in \mathfrak{M}$ there is $N \in \mathfrak{N}$ with $\sum_{\ell \geq k} 1/\nu_\ell \lesssim k/\mu_k$ and $\mu \lesssim \nu$. Let E be a compact subset of \mathbb{R}^n . Then the jet mapping $j_E^\infty : \mathcal{B}^{\{\mathfrak{N}\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{\mathfrak{M}\}}(E)$ is surjective.*

Proof. Let $M \in \mathfrak{M}$ be fixed. Lemma 4.2 implies $\mu \lesssim \sigma \lesssim \nu$, where σ is the descendant of ν . By Theorem 5.3, $j_E^\infty : \mathcal{B}^{\{\mathfrak{N}\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{S\}}(E)$ is surjective and $\mathcal{B}^{\{M\}}(E) \subseteq \mathcal{B}^{\{S\}}(E)$. \square

Corollary 5.6 (Extension preserving the class). *Let \mathfrak{N} be an admissible weight matrix such that for all $N \in \mathfrak{N}$ there is $\dot{N} \in \mathfrak{N}$ with $\sum_{\ell \geq k} 1/\dot{\nu}_\ell \lesssim k/\nu_k$. Let E be a compact subset of \mathbb{R}^n . Then the jet mapping $j_E^\infty : \mathcal{B}^{\{\mathfrak{N}\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{\mathfrak{N}\}}(E)$ is surjective.*

Proof. This is a special case of Corollary 5.5. \square

If \mathfrak{N} consists just of a single weight sequence we recover a slightly sharper version of the result of Chaumat and Chollet [9, Theorem 30].

Corollary 5.7. *Let N be a non-quasianalytic weight sequence of moderate growth. Then the descendant S of N has moderate growth. The mapping $j_E^\infty : \mathcal{B}^{\{N\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{S\}}(E)$ is surjective for every compact $E \subseteq \mathbb{R}^n$.*

Proof. That S has moderate growth follows from Lemma 2.2 and Lemma 4.2(6) (applied to $\nu = \dot{\nu}$). \square

Chaumat and Chollet show that if M is a weight sequence of moderate growth such that μ^* is increasing and N is a non-quasianalytic weight sequence with $\mu \lesssim \nu$ then the following are equivalent:

- $j_E^\infty : \mathcal{B}^{\{N\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{M\}}(E)$ is surjective for every compact $E \subseteq \mathbb{R}^n$.
- $j_{\{0\}}^\infty : \mathcal{B}^{\{N\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{M\}}(\{0\})$ is surjective.
- $\sum_{\ell \geq k} 1/\nu_\ell \lesssim k/\mu_k$.

In the situation of the corollary we see, by Lemma 4.2(5), that, for arbitrary E , $\mathcal{B}^{\{S\}}(E)$ is the largest space of Whitney jets among the $\mathcal{B}^{\{M\}}(E)$ which is contained in $j_E^\infty \mathcal{B}^{\{N\}}(\mathbb{R}^n)$.

Let us collect the immediate consequences for classes defined by weight functions.

Corollary 5.8. *Let τ be an admissible weight function with associated weight matrix \mathfrak{T} . Assume that ω is a weight function with associated weight matrix \mathfrak{W} such that for all $W \in \mathfrak{W}$ there is $T \in \mathfrak{T}$ with $\sum_{\ell \geq k} T_{\ell-1}/T_\ell \lesssim kW_{k-1}/W_k$ and $W_k/W_{k-1} \lesssim T_k/T_{k-1}$. Let E be a compact subset of \mathbb{R}^n . Then the jet mapping $j_E^\infty : \mathcal{B}^{\{\tau\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{\omega\}}(E)$ is surjective.*

Corollary 5.9. *Let ω be an admissible weight function with associated weight matrix \mathfrak{W} such that for all $W \in \mathfrak{W}$ there is $\dot{W} \in \mathfrak{W}$ with $\sum_{\ell \geq k} 1/\dot{\vartheta}_\ell \lesssim k/\vartheta_k$. Let E be a compact subset of \mathbb{R}^n . Then the jet mapping $j_E^\infty : \mathcal{B}^{\{\omega\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{\omega\}}(E)$ is surjective.*

5.4. Characterization of the extension property. In this section we prove a converse to Corollary 5.6, using a result of Schmets and Valdivia [25].

For weight sequences $M = (M_k)$ and $N = (N_k)$ and positive integers p and k set

$$\varphi_{p,k}^{M,N} := \sup_{0 \leq j < k} \left(\frac{M_k}{p^k N_j} \right)^{1/(k-j)}.$$

and consider the condition

$$(5.16) \quad \sum_{j \geq k} \frac{1}{\nu_j} \lesssim \frac{k}{\varphi_{p,k}^{M,N}}.$$

Provided that $M \leq N$ we have $\varphi_{p,k}^{M,N} \leq \mu_k$ for every positive integer p , indeed,

$$\left(\frac{M_k}{p^k N_j} \right)^{1/(k-j)} \leq \left(\frac{M_k}{N_j} \right)^{1/(k-j)} \leq (\mu_{j+1} \cdots \mu_k)^{1/(k-j)} \leq \mu_k.$$

Thus $\sum_{\ell \geq k} 1/\nu_\ell \lesssim k/\mu_k$ implies (5.16) for every $p \in \mathbb{N}_{>0}$. A partial converse holds for suitable weight matrices.

Lemma 5.10. *Let \mathfrak{N} be a weight matrix satisfying*

$$(5.17) \quad \forall N \in \mathfrak{N} \exists \dot{N} \in \mathfrak{N} : \nu_k \lesssim \dot{N}_k^{1/k}.$$

Then the following are equivalent:

$$(5.18) \quad \forall N \in \mathfrak{N} \exists \dot{N} \in \mathfrak{N} \exists p \in \mathbb{N}_{>0} : \sum_{\ell \geq k} \frac{1}{\dot{\nu}_\ell} \lesssim \frac{k}{\varphi_{p,k}^{N,\dot{N}}}.$$

$$(5.19) \quad \forall N \in \mathfrak{N} \exists \dot{N} \in \mathfrak{N} : \sum_{\ell \geq k} \frac{1}{\dot{\nu}_\ell} \lesssim \frac{k}{\nu_k}.$$

Proof. That (5.19) implies (5.18) is clear by the arguments above. Suppose that (5.18) holds and let $N \in \mathfrak{N}$ be fixed. Then, by (5.17) and (5.18), there exist $\dot{N}, \ddot{N} \in \mathfrak{N}$ such that $\nu_k \lesssim \dot{N}_k^{1/k} \leq p \varphi_{p,k}^{\dot{N},\ddot{N}}$ and $\sum_{j \geq k} 1/\ddot{\nu}_j \lesssim k/\varphi_{p,k}^{\dot{N},\ddot{N}}$ which entails (5.19). \square

Proposition 5.11. *Let \mathfrak{N} be an admissible weight matrix. The inclusion $\mathcal{B}^{\{\mathfrak{N}\}}(\{0\}) \subseteq j_{\{0\}}^\infty \mathcal{B}^{\{\mathfrak{N}\}}(\{\mathbb{R}\})$ implies (5.19).*

Proof. By Lemma 5.10, it suffices to show (5.18).

Claim. $\mathcal{B}^{\{\mathfrak{N}\}}(\{0\}) \subseteq j_{\{0\}}^\infty \mathcal{B}^{\{\mathfrak{N}\}}(\{\mathbb{R}\})$ if and only if (5.18).

We use the following result of Schmets and Valdivia [25, Theorem 1.1]: *Let M and N be weight sequences such that $M_k^{1/k} \lesssim N_k^{1/k}$ and N is non-quasianalytic. Then $\mathcal{B}^{\{M\}}(\{0\}) \subseteq j_{\{0\}}^\infty \mathcal{B}^{\{N\}}(\mathbb{R})$ if and only if (5.16) holds for some p .* In [25] the assumptions on M and N are slightly more restrictive, but the same proof yields the result.

This result implies the claim, since $\mathcal{B}^{\{\mathfrak{N}\}}(\{0\}) \subseteq j_{\{0\}}^\infty \mathcal{B}^{\{\mathfrak{N}\}}(\{\mathbb{R}\})$ entails that for all $N \in \mathfrak{N}$ there is $\dot{N} \in \mathfrak{N}$ such that $\mathcal{B}^{\{N\}}(\{0\}) \subseteq j_{\{0\}}^\infty \mathcal{B}^{\{\dot{N}\}}(\{\mathbb{R}\})$ which follows from a simple modification of the proof of [25, Proposition 3.3]. \square

Theorem 5.12 (Characterization of the extension property). *Let \mathfrak{N} be an admissible weight matrix. The jet mapping $j_E^\infty : \mathcal{B}^{\{\mathfrak{N}\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{\mathfrak{N}\}}(E)$ is surjective for every compact set $E \subseteq \mathbb{R}^n$ if and only if (5.19).*

Proof. Corollary 5.6 and Proposition 5.11. \square

For weight functions this implies the following.

Corollary 5.13. *Let ω be an admissible weight function. Then the following are equivalent:*

- (1) $j_E^\infty : \mathcal{B}^{\{\omega\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{\omega\}}(E)$ is surjective for every compact set $E \subseteq \mathbb{R}^n$.
- (2) For all $x > 0$ there is $y > 0$ such that $\sum_{\ell \geq k} 1/\vartheta_\ell^y \lesssim k/\vartheta_k^x$.

We want to emphasize that [3] proved the equivalence of (1) with

- (3) $\int_1^\infty y^{-2} \omega(ty) dy \leq A\omega(t) + B$ for positive constants A, B ,

for arbitrary weight functions by different methods.

5.5. A class of admissible weight functions. For $s > 1$ consider the weight function (cf. [22, Section 3.10])

$$\omega_s(t) := \max\{0, (\log t)^s\}.$$

Then $\varphi_s(t) = t^s$ for $t > 0$ and $\varphi_s(t) = 0$ for $t \leq 0$. Let us set $r = s/(s-1)$; then $r > 1$ and $r-1 = 1/(s-1)$. The Young conjugate of φ_s is $\varphi_s^*(t) = C_s t^r$ where $C_s = (s-1)s^{-r}$. The associated weight sequences $(W_k^{s,\rho})_k$, $\rho > 0$, are given by

$$W_k^{s,\rho} = \exp(C_s \rho^{r-1} k^r).$$

Proposition 5.14. *Let $s > 1$. The weight function ω_s has the following properties.*

- (1) For all $\rho > 0$ we have $\sum_{\ell \geq k} 1/\vartheta_\ell^{s,\rho} \lesssim k/\vartheta_k^{s,\rho}$ (thus $\sigma^{s,\rho} \sim \vartheta^{s,\rho}$ if $\sigma^{s,\rho}$ denotes the descendant of $\vartheta^{s,\rho}$).
- (2) Condition (4.3) holds for $W^{s,\rho}$ if $s \geq 2$ (condition (3.3) holds for no $s > 1$).
- (3) For all $\rho > 0$ and $k \in \mathbb{N}_{>0}$ we have $\vartheta_{k+1}^{s,\rho} \leq (W_k^{s,6\rho})^{1/k}$.
- (4) $\int_1^\infty y^{-2} \omega_s(ty) dy \leq A\omega_s(t) + B$ for positive constants A, B ,

In particular, ω_s is admissible if $s \geq 2$.

Proof. The function $f(x) = x^r$ is increasing on $(0, \infty)$ with increasing derivative $f'(x) = rx^{r-1}$. Thus $f'(k) \leq f(k+1) - f(k) \leq f'(k+1)$, i.e.,

$$(5.20) \quad rk^{r-1} \leq (k+1)^r - k^r \leq r(k+1)^{r-1}.$$

- (1) By (5.20),

$$\frac{\vartheta_{2k}^{s,\rho}}{\vartheta_k^{s,\rho}} = \exp(C_s \rho^{r-1} (2^r - 1)(k^r - (k-1)^r)) \rightarrow \infty \quad \text{as } k \rightarrow \infty,$$

which implies (1) by [20, Proposition 1.1].

(2) By (1), (4.3) for $W^{s,\rho}$ is equivalent to $\vartheta_{k+1}^{s,\rho} \lesssim \vartheta_k^{s,\rho}$. We have $s \geq 2$ if and only if $1 < r \leq 2$. Then the function f' is concave on $(0, \infty)$ since $f'''(x) = r(r-1)(r-2)x^{r-3} \leq 0$. Thus (by a look at its derivative) the function $(x+1)^r + (x-1)^r - 2x^r$ is decreasing, which implies $\vartheta_{k+1}^{s,\rho} \lesssim \vartheta_k^{s,\rho}$.

- (3) By (5.20),

$$\begin{aligned} \vartheta_{k+1}^{s,\rho} &= \exp(C_s \rho^{r-1} ((k+1)^r - k^r)) \leq \exp(C_s r \rho^{r-1} (k+1)^{r-1}) \\ &\leq \exp(C_s (2e\rho)^{r-1} k^{r-1}) \leq (W_k^{s,6\rho})^{1/k}. \end{aligned}$$

(4) This follows from (1) in view of [14, Proposition 4.4] and [21, Lemma 5.7]. Alternatively, it can easily be seen by checking some equivalent condition from [3, Theorem 1.7], or directly by using the asymptotic behavior of the incomplete Gamma function. \square

Since each ω_s violates (3.3), and thus the corresponding class cannot be described by a single weight sequence, the extension property does not follow from the result of Chaumat and Chollet. Our results imply that the jet mapping $j_E^\infty : \mathcal{B}^{\{\omega_s\}}(\mathbb{R}^n) \rightarrow \mathcal{B}^{\{\omega_s\}}(E)$ is surjective for every compact subset $E \subseteq \mathbb{R}^n$ provided that $s \geq 2$. However, by [3] it is so also for $1 < s < 2$.

REFERENCES

- [1] A. Beurling, *Quasi-analyticity and general distributions*, Lecture notes, AMS Summer Institute, Stanford, 1961.
- [2] G. Björck, *Linear partial differential operators and generalized distributions*, Ark. Mat. **6** (1966), 351–407.
- [3] J. Bonet, R. W. Braun, R. Meise, and B. A. Taylor, *Whitney's extension theorem for non-quasianalytic classes of ultradifferentiable functions*, Studia Math. **99** (1991), no. 2, 155–184.
- [4] J. Bonet, R. Meise, and S. N. Melikhov, *A comparison of two different ways to define classes of ultradifferentiable functions*, Bull. Belg. Math. Soc. Simon Stevin **14** (2007), 424–444.
- [5] J. Bonet, R. Meise, and B. A. Taylor, *Whitney's extension theorem for ultradifferentiable functions of Roumieu type*, Proc. Roy. Irish Acad. Sect. A **89** (1989), no. 1, 53–66.
- [6] ———, *On the range of the Borel map for classes of nonquasianalytic functions*, Progress in functional analysis (Peñíscola, 1990), North-Holland Math. Stud., vol. 170, North-Holland, Amsterdam, 1992, pp. 97–111.
- [7] R. W. Braun, R. Meise, and B. A. Taylor, *Ultradifferentiable functions and Fourier analysis*, Results Math. **17** (1990), no. 3-4, 206–237.
- [8] J. Bruna, *An extension theorem of Whitney type for non-quasi-analytic classes of functions*, J. London Math. Soc. (2) **22** (1980), no. 3, 495–505.
- [9] J. Chaumat and A.-M. Chollet, *Surjectivité de l'application restriction à un compact dans des classes de fonctions ultradifférentiables*, Math. Ann. **298** (1994), no. 1, 7–40.
- [10] ———, *Propriétés de l'intersection des classes de Gevrey et de certaines autres classes*, Bull. Sci. Math. **122** (1998), no. 6, 455–485.
- [11] R. R. Coifman and G. Weiss, *Analyse harmonique non-commutative sur certains espaces homogènes*, Lecture Notes in Mathematics, Vol. 242, Springer-Verlag, Berlin-New York, 1971, Étude de certaines intégrales singulières.
- [12] E. M. Dyn'kin, *Pseudoanalytic extension of smooth functions. The uniform scale.*, Transl., Ser. 2, Am. Math. Soc. **115** (1980), 33–58 (English).
- [13] L. Hörmander, *The analysis of linear partial differential operators. I*, Grundlehren der Mathematischen Wissenschaften [Fundamental Principles of Mathematical Sciences], vol. 256, Springer-Verlag, Berlin, 1983, Distribution theory and Fourier analysis.
- [14] H. Komatsu, *Ultradistributions. I. Structure theorems and a characterization*, J. Fac. Sci. Univ. Tokyo Sect. IA Math. **20** (1973), 25–105.
- [15] M. Langenbruch, *Extension of ultradifferentiable functions*, Manuscripta Math. **83** (1994), no. 2, 123–143.
- [16] B. Malgrange, *Ideals of differentiable functions*, Tata Institute of Fundamental Research Studies in Mathematics, No. 3, Tata Institute of Fundamental Research, Bombay, 1967.
- [17] S. Mandelbrojt, *Séries adhérentes, régularisation des suites, applications*, Gauthier-Villars, Paris, 1952.
- [18] W. Matsumoto, *Characterization of the separativity of ultradifferentiable classes*, J. Math. Kyoto Univ. **24** (1984), no. 4, 667–678.
- [19] ———, *Theory of pseudodifferential operators of ultradifferentiable class*, J. Math. Kyoto Univ. **27** (1987), no. 3, 453–500.
- [20] H.-J. Petzsche, *On E. Borel's theorem*, Math. Ann. **282** (1988), no. 2, 299–313.
- [21] A. Rainer and G. Schindl, *Composition in ultradifferentiable classes*, Studia Math. **224** (2014), no. 2, 97–131.
- [22] G. Schindl, *Exponential laws for classes of Denjoy–Carleman differentiable mappings*, Ph.D. thesis, Universität Wien, 2014, http://othes.univie.ac.at/32755/1/2014-01-26_0304518.pdf.
- [22] ———, *Characterization of ultradifferentiable test functions defined by weight matrices in terms of their Fourier transform*, (2016), to appear in Note di Matematica, arXiv:1502.07387.

- [24] J. Schmets and M. Valdivia, *Extension maps in ultradifferentiable and ultraholomorphic function spaces*, Studia Math. **143** (2000), no. 3, 221–250.
- [25] ———, *On certain extension theorems in the mixed Borel setting*, J. Math. Anal. Appl. **297** (2004), no. 2, 384–403, Special issue dedicated to John Horváth.
- [26] J.-C. Tougeron, *Idéaux de fonctions différentiables*, Springer-Verlag, Berlin, 1972, Ergebnisse der Mathematik und ihrer Grenzgebiete, Band 71.
- [27] H. Whitney, *Analytic extensions of differentiable functions defined in closed sets*, Trans. Amer. Math. Soc. **36** (1934), no. 1, 63–89.

A. RAINER: FAKULTÄT FÜR MATHEMATIK, UNIVERSITÄT WIEN, OSKAR-MORGENSTERN-PLATZ 1, A-1090 WIEN, AUSTRIA

E-mail address: `armin.rainer@univie.ac.at`

G. SCHINDL: FAKULTÄT FÜR MATHEMATIK, UNIVERSITÄT WIEN, OSKAR-MORGENSTERN-PLATZ 1, A-1090 WIEN, AUSTRIA

E-mail address: `gerhard.schindl@univie.ac.at`